

Guide to the answers

Friday February 20, 2026

Exercise 1

1.1) Show that the set R of recursive languages is closed with respect to:

- language union (i.e., if $L_1, L_2 \in R$ then $L_1 \cup L_2 \in R$),
- language intersection (if $L_1, L_2 \in R$ then $L_1 \cap L_2 \in R$) and
- language complementation (if $L \in R$ then $\bar{L} = \Sigma^* \setminus L \in R$).

1.2) What can be said about the set RE of recursively enumerable languages?

Solution 1

1.1) If languages $L_1, L_2 \in R$, it means that there are Turing machines \mathcal{M}_1 and \mathcal{M}_2 that decide the two languages:

$$\forall s \in \Sigma^* \quad \mathcal{M}_1(s) = \begin{cases} 1 & \text{if } s \in L_1 \\ 0 & \text{otherwise} \end{cases} \quad \mathcal{M}_2(s) = \begin{cases} 1 & \text{if } s \in L_2 \\ 0 & \text{otherwise} \end{cases}$$

In particular, both machines will always halt.

- The following machine will accept s whenever it belongs to L_1 or L_2 and reject it otherwise (hence it decides is $s \in L_1 \cup L_2$):
 - Run $\mathcal{M}_1(s)$; if it accepts, then accept and halt;
 - otherwise, run $\mathcal{M}_2(s)$; if it accepts, then accept and halt;
 - otherwise, since both machines rejected s , reject and halt.

Since the machine decides $L_1 \cup L_2$, language union is still recursive.

- The following machine will accept s whenever it belongs to *both* L_1 and L_2 and reject it otherwise (hence it decides is $s \in L_1 \cap L_2$):
 - Run $\mathcal{M}_1(s)$; if it rejects, then reject and halt;
 - otherwise, run $\mathcal{M}_2(s)$; if it rejects, then reject and halt;
 - otherwise, since both machines accepted s , accept and halt.

The machine decides $L_1 \cap L_2$, intersection is recursive too.

- To decide the complement of language L_1 , we just need to reverse \mathcal{M}_1 's answer:
 - Run $\mathcal{M}_1(s)$; if it accepts, then reject and halt;
 - otherwise, accept and halt.

This machine decides \bar{L}_1 which is therefore recursive.

1.2) If languages $L_1, L_2 \in RE$, the Turing machines \mathcal{M}_1 and \mathcal{M}_2 are not guaranteed to halt if the string is not in the language (they *recognize* it but are not guaranteed to *decide* it):

$$\forall s \in \Sigma^* \quad \mathcal{M}_1(s) = \begin{cases} 1 & \text{if } s \in L_1 \\ \neq 1 & \text{otherwise} \end{cases} \quad \mathcal{M}_2(s) = \begin{cases} 1 & \text{if } s \in L_2 \\ \neq 1 & \text{otherwise} \end{cases}$$

where “ $\neq 1$ ” can be interpreted as “reject or run forever.”

- To prove closure with respect to language union, we need to alternate steps of both machines, accepting whenever one of the two halts. The following machine will accept s whenever it belongs to L_1 or L_2 (hence it accepts $L_1 \cup L_2$):

- Initialize the simulation of $\mathcal{M}_1(s)$ and $\mathcal{M}_2(s)$;
- repeat:
 - * Run the next step of $\mathcal{M}_1(s)$; if it accepts, then accept and halt;
 - * otherwise, run the next step of $\mathcal{M}_2(s)$; if it accepts, then accept and halt;

This machine halts in an accepting state as soon as either $\mathcal{M}_1(s)$ or $\mathcal{M}_2(s)$ accepts, otherwise it runs forever. It accepts $L_1 \cup L_2$, which is therefore recursively enumerable.

- For the intersection, the same construction shown in 1.1 still works. The following machine will accept s whenever it belongs to *both* L_1 and L_2 (hence it accepts $L_1 \cap L_2$):

- Run $\mathcal{M}_1(s)$; if it rejects, then reject and halt;
- otherwise, run $\mathcal{M}_2(s)$; if it rejects, then reject and halt;
- otherwise, since both machines accepted s , accept and halt.

If either $\mathcal{M}_1(s)$ or $\mathcal{M}_2(s)$ doesn't halt, then the machine runs forever, thereby not accepting s , as intended. This machine therefore accepts $L_1 \cap L_2$, which is therefore recursively enumerable.

- Finally RE is *not* closed under complementation. In fact, if both L and \bar{L} are RE, it means that we have both a machine \mathcal{M} that accepts L and a machine $\bar{\mathcal{M}}$ that accepts its complement. Then we could build the following machine that alternates the steps of $\mathcal{M}(s)$ and $\bar{\mathcal{M}}(s)$:

- Initialize the simulation of $\mathcal{M}(s)$ and $\bar{\mathcal{M}}(s)$;
- repeat:
 - * Run the next step of $\mathcal{M}(s)$; if it accepts, then accept and halt;
 - * otherwise, run the next step of $\bar{\mathcal{M}}(s)$; if it accepts, then **reject** and halt;

We are guaranteed that either $\mathcal{M}(s)$ or $\bar{\mathcal{M}}(s)$ will halt and accept; therefore, the constructed machine will either accept s if $s \in L$ or reject s if $s \in \bar{L}$. Therefore it *decides* L , which is thus recursive.

Therefore, closure wrt complementation only works for recursive languages.

Exercise 2

The FACTORING language is the decision version of the prime factoring problem. It contains all integer pairs (N, k) such that N has a proper divisor not greater than k :

$$\text{FACTORING} = \{(N, k) \in \mathbb{N}^2 \mid \exists m : 1 < m \leq k \wedge N \bmod m = 0\}.$$

In other words, N is divisible by some number greater than 1 but not larger than k . For instance:

- $(36, 3) \in \text{FACTORING}$, because $N = 36$ is divisible by $m = 2$ (which is smaller than $k = 3$);
- $(35, 4) \notin \text{FACTORING}$, because the smallest proper divisor of $N = 35$ is 5, which is larger than $k = 4$ (i.e, no number m between 2 and $k = 4$ divides $N = 35$).

2.1) Write an algorithm (in any language or pseudocode you like) that decides FACTORING. Assuming that integers are encoded with a positional system (e.g. binary), show that your algorithm runs in exponential time with respect to the input size.

2.2) Prove that $\text{FACTORING} \in \text{NP}$.

2.3) Prove that $\text{FACTORING} \in \text{coNP}$.

Hint — For point 2.1, just iterate over all values of $m = 2, \dots, k$; when you discuss the algorithm's complexity, remember that N and k are the input values, but the input size is much less.

If, against all odds, you find a polynomial-time algorithm, you should publish your answer on a major Math or CS journal.

Solution 2

2.1) Consider the following algorithm:

- On input (N, k) :
 - for $m = 2, \dots, k$:
 - * if $N \bmod m = 0$ then accept and halt
 - Reject and halt

This algorithm clearly decides FACTORING by iterating through all possible divisors of N from 2 to k , and rejects if none is found. While we can assume that arithmetic operations take polynomial time wrt the operand sizes, the whole algorithm is contained in a loop that, on the worst case, requires $O(k)$ iterations.

Remember, however, that the *size* of the input is given by the number of digits needed to represent the input. Let $|k|$ be the size of k : then $|k| = O(\log k)$; therefore, the number of iterations is $O(2^{|k|})$, which is *exponential* wrt the size of the input (the input also includes N , but it also have logarithmic size).

Even though we can envision many optimizations, nobody has been able to provide a polynomial-time decision algorithm.

2.2) To prove $(N, k) \in \text{FACTORING}$, a suitable certificate is the divisor m . Its size is clearly polynomial (since $m \leq k$), and we need to check that it satisfies all requirements: $2 < m \leq k$ and $N \bmod m = 0$; both operations can be performed in polynomial time.

The existence of the polynomial certificate means $\text{FACTORING} \in \text{NP}$.

2.3) To prove that $(N, k) \notin \text{FACTORING}$, we must prove that no suitable m exists. The certificate is the prime factorization of N , consisting of l prime numbers p_1, \dots, p_l , possibly

repeated. Each such number is clearly less than N , and there is at most a logarithmic number of prime factors; therefore, the size of the certificate is polynomial wrt the size of N . To check the certificate, we need to verify the following:

- that all p_i 's are prime (a polytime check exists),
- that their product is indeed N (multiplication is polytime),
- finally, that all p_i 's are larger than k : this ensures that no proper divisor m of N can be found in $\{2, \dots, k\}$.

The existence of a polynomial certificate for a negative answer means **FACTORING** \in **coNP**.

Exercise 3

Let $G = (V, E)$ be a directed graph (meaning that its edges are *ordered* pairs: $E \subseteq V \times V$).

A *cycle* in G is a sequence of $k \geq 2$ vertices $v_1, \dots, v_k \in V$ such that consecutive vertices are connected by an edge in the correct direction:

$$\forall i = 1, \dots, k-1 \quad (v_i, v_{i+1}) \in E,$$

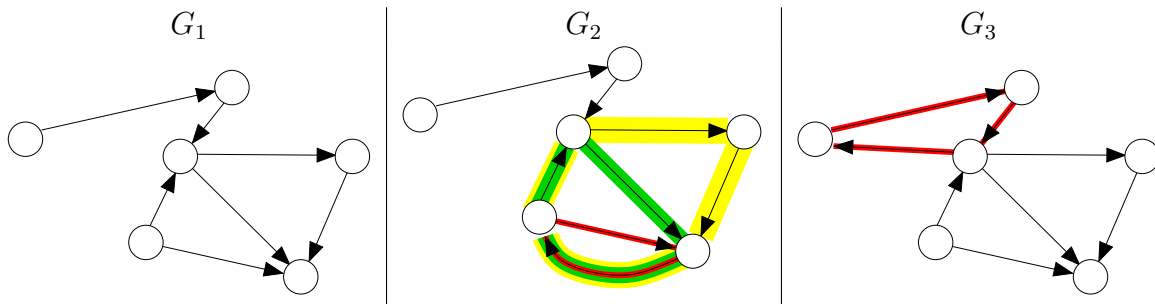
and the last vertex is connected to the first:

$$(v_k, v_1) \in E.$$

We define the language

$$\text{HAS_CYCLES} = \{G \mid G \text{ is a directed graph and contains at least one cycle}\}.$$

For example, consider the three directed graphs:



Then:

- $G_1 \notin \text{HAS_CYCLES}$ (it doesn't contain cycles);
- $G_2, G_3 \in \text{HAS_CYCLES}$ because both contain at least a cycle (highlighted).

3.1) Prove that $\text{HAS_CYCLES} \in \mathbf{P}$.

3.2) Prove that $\text{HAS_CYCLES} \in \mathbf{NL}$.

Hint — While some (pseudo-) code would be ideal, a word-only high-level description of the decision algorithms is fine.

Solution 3

3.1) Since we know that $\mathbf{NL} \subseteq \mathbf{P}$, the proof of point 3.2 would imply $\text{HAS_CYCLES} \in \mathbf{P}$.

However, let us also see a possible algorithm; if we don't care much about efficiency (but still want everything to be polynomial), we can iterate through all nodes and, for each of them, follow all paths and see if we meet the same node again.

- for $s \in V$:
 - $\text{queue} \leftarrow [s]$
 - $\text{visited} \leftarrow \{\}$
 - $\text{step} \leftarrow 0$
 - repeat as long as queue is not empty:
 - * $v \leftarrow \text{queue.extract}()$

- * for v' such that $(v, v') \in E$ and $v' \notin \text{visited}$:
 - if $v' = s$: accept and halt
 - `queue.insert(v')`
 - $\text{visited} \leftarrow \text{visited} \cup \{v\}$
- Reject

The algorithm iterates all nodes s and visits all nodes reachable from s . If it meets s again during the search, then the graph has a cycle and the algorithm halts. If the iteration ends without ever repeating a node, the last line rejects.

Observe that the algorithm is composed of three nested loops, each running for at most $|V|$ iterations. Therefore, its time complexity is polynomial and $\text{HAS_CYCLES} \in \mathbf{P}$.

3.2) Consider the following algorithm, inspired by the non-deterministic algorithm for STCON:

- Non-deterministically choose $s \in V$.
- $v \leftarrow s$
- Repeat for at most $|V|$ iterations:
 - Non deterministically choose v' such that $(v, v') \in E$.
 - If $v' = s$ accept and halt.
 - $v \leftarrow v'$.
- Reject.

The “lucky” branch of the computation chooses the initial node s in the cycle (if there is one) and follows the right path leading to s itself, ending with acceptance.

If there are no cycles, then no branch is lucky and they all reject.

Observe that the algorithm uses a fixed number of variables, each referring to a node in the graph, and a counter. All such variables require $O(\log |V|)$ space. Therefore, the whole non-deterministic algorithm requires $O(\log |V|)$ space too.